

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1539233
<b>Application Number:</b>	09911772
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3927
<b>Title of Invention:</b>	Securities market and market maker activity tracking system and method
<b>First Named Inventor/Applicant Name:</b>	Stephen Cutler
<b>Correspondence Address:</b>	M. David Galin Renner, Otto, Boisselle & Sklar, LLP Nineteenth Floor 1621 Euclid Avenue Cleveland OH 44115 US - -
<b>Filer:</b>	Morris David Galin
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	CUTLP0102US
<b>Receipt Date:</b>	23-FEB-2007
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### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$935
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	CUTSP0102USR01ExtensionofTime.pdf	195837	no	1

### Warnings:

### Information:

2		CUTSP0102USR01final.pdf	220204	yes	63
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	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Specification		2	7	
	Claims		8	49	
	Applicant Arguments/Remarks Made in an Amendment		50	63	

### Warnings:

### Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	8460	no	2
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### Warnings:

### Information:

Total Files Size (in bytes):			424501		
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.